

March 22, 2002



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Box MISSING PARTS
Honorable Commissioner for Patents
Washington, D.C. 20231



22887
PATENT TRADEMARK OFFICE

RE: Submission of Missing Parts of Application
Inventor: Lamkin et al.
TITLE: BCA WRITER SERIALIZATION MANAGEMENT
USSN: 10/003,704
Filed: October 30, 2001
Confirmation No. 2518
Atty. Docket No.: IA 1501.01A US

Dear Sir:

Enclosed for filing please find the following:

1. Transmittal Form;
2. Fee Transmittal Form;
3. Communication - Submission of Missing Parts of Application;
4. Copy of Notice to File Missing Parts;
5. Executed Declaration by Inventors Lamkin, Blair, and Collart (3 pages);
6. Request for One-Month Extension of Time;
7. Cover Letter;
8. Stamped, Self-Addressed Postcard (Control No. 3FXEDP); and
9. Certificate of Mailing dated March 22, 2002.

Please indicate on the enclosed postcard the date of receipt of the enclosed materials and mail this prepaid postcard to acknowledge receipt of this transmittal.

Very truly yours,

DISCOVISION ASSOCIATES


Steve A. Wong
Supervising Patent Attorney
INTELLECTUAL PROPERTY DEVELOPMENT

SAW:jb
Enclosures

P:\ABG\PPDIA\1501\01a\ptocvr_101.doc

Please type a plus sign (+) inside this box → ☐

PTO/S13/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	Application Number	10/003,704
	Filing Date	October 30, 2001
	First Named Inventor	LAMKIN, Allan B.
	Group Art Unit	2185
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	1A 1501.01A US

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Executed Declaration _____
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	(See Remarks) _____
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CID, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks _____	
<input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Stamped, Self Addressed Postcard _____	
	Cover Letter _____	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	DISCOVISION ASSOCIATES -- Intellectual Property Development 2355 Main Street, Suite 200, Irvine, CA 92614 -- U.S.A. -- Tel: (949) 660-5000
Signature	
Date	3/22/02

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: <u>3/22/02</u>			
Typed or printed name	JEANNIE B. BENEDICT		
Signature		Date	3/22/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

APR 01 2002

PTO/SB/17 (11-01)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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FEE TRANSMITTAL
for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR §1.27**TOTAL AMOUNT OF PAYMENT (\$)** \$ 980.00

Complete if Known

Application Number	10/003,704
Filing Date	October 30, 2001
First Named Inventor	LAMKIN, Allan B.
Examiner Name	Unknown
Group Art Unit	2185
Attorney Docket No.	IA 1501.01A US

COPIES OF PAPERS
FILED**METHOD OF PAYMENT (check all that apply)**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account:
 Deposit Account Number **04-1175**
 Deposit Account Name **DISCOVISION ASSOCIATES**

The Commissioner is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account
FEE CALCULATION**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
101	740	201	370	Utility filing fee	740.00
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUB TOTAL (1) (\$ 740.00)**2. EXTRA CLAIM FEES**

Extra Claims		Fee from Below		Fee Paid
Total Claims	-20**=			
Independent Claims	-3**=			
Multiple Dependent				

Large Entity		Small Entity		Fee Description
Fee Code	Fee \$	Fee Code	Fee \$	
103	18	203	9	Claim in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee \$	Fee Code	Fee \$		
105	130	205	65	Surcharge - late filing fee or oath	\$ 130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	\$110.00
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt.	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 C.F. R. §1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR §1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 240.00)**SUBMITTED BY**Name Printed/Typed **Steve A. Wong**Registration No. **37,968**
Attorney / AgentSignature 

Complete (if applicable)

Telephone **(949) 660-5047**Date **3/22/02****WARNING:**

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:
Lamkin et al.

Filed: October 30, 2001

Serial No.: 10/003,704

For: BCA WRITER SERIALIZATION
MANAGEMENT

§ Art Unit: 2185
§
§ Examiner: Unknown
§
§ Confirmation No. 2518
§
§ Attorney Docket Number:
§ IA 1501.01A US
§

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COMMUNICATION
SUBMISSION OF MISSING PARTS OF APPLICATION

BOX MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

Sir:

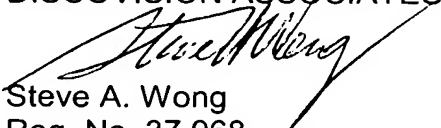
In response to the Notice To File Missing Parts of Nonprovisional Application Under 37 CFR 1.53(b) which was mailed on December 28, 2001, Applicant submits herewith:

1. Copy of Notice to File Missing Parts.
2. An executed Declaration by Inventors Lamkin, Blair, and Collart.
3. Request for One-Month Extension of Time
4. Fees as calculated on attached Fee Transmittal FY 2002.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account Number 04-1175 in connection with this application.

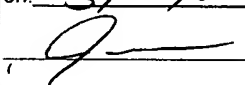
Respectfully submitted,

DISCOVISION ASSOCIATES


Steve A. Wong
Reg. No. 37,968

Date: March 22, 2002

DISCOVISION ASSOCIATES
INTELLECTUAL PROPERTY DEVELOPMENT
P.O. Box 19616
Irvine, California 92623
949-660-5000

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Honorable Commissioner for Patents, Washington, D.C., 20231	
on: 3/22/02	JEANNIE BENEDICT
	(Type or print name)
	Signature)



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/003,704	10/30/2001	Allan B. Lamkin	71751

APR 01 2002

22242

FITCH EVEN TABIN AND FLANNERY
120 SOUTH LA SALLE STREET
SUITE 1600
CHICAGO, IL 606033406

CONFIRMATION NO. 2518

FORMALITIES LETTER



OC000000007238226

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Date Mailed: 12/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE